

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE UNITED STATES ELECTED OFFICE

In re Application of : Binding et al.  
Serial No. : 09/743,844 Examiner : Unassigned  
I. A. Filing Date : November 23, 1998 Art Unit : Unassigned  
For : METHOD OF ESTABLISHING THE  
TRUSTWORTHINESS OF A PARTICIPANT IN A  
COMMUNICATION CONNECTION

August 9, 2001

**RESPONSE TO  
NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371**

Box PCT  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

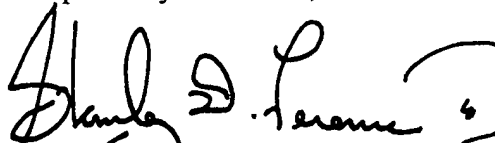
In response to the Notification of Missing Requirements Under 35 U.S.C. 371 dated February 9, 2001, Applicants submit herewith a duly executed **Declaration and Power of Attorney for Patent Application** (in two parts). Please change your records to reflect the new correspondence address specified in this document.

Please charge **IBM Corporation Deposit Account No. 50-0510** in the amount of \$130.00 to cover the surcharge for the late filing of a signed Declaration. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or credit **IBM Corporation Deposit Account No. 50-0510** as required to correct the error. Duplicate copies of this document are enclosed.

As required, a copy of the Notification is attached.

Since all the missing requirements have now been supplied, it is submitted that the application is now complete and in form for examination. Accordingly, such examination and prompt allowance are earnestly solicited.

Respectfully submitted,



---

Stanley D. Ference III  
Registration No. 33,879

FERENCE & ASSOCIATES  
129 Oakhurst Road  
Pittsburgh, Pennsylvania 15215  
(412) 781-7386  
(412) 781-8390 - Facsimile

Attorneys for Applicants

Enclosures

08/15/2001 MKAYPAGH 00000054 500510 09743844  
01 FC:154 130.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE UNITED STATES ELECTED OFFICECERTIFICATE OF MAILING UNDER 37 CFR § 1.10

Applicant : Carl Binding et al.  
Serial No. : 09/743,844  
I.A. Filing Date : November 23, 1998  
For : METHOD OF ESTABLISHING THE TRUSTWORTHINESS OF  
A PARTICIPANT IN A COMMUNICATION CONNECTION  
Papers : PETITION AND FEE FOR EXTENSION OF TIME PURSUANT  
TO 37 C.F.R. § 1.136(a) (in duplicate); RESPONSE TO  
NOTIFICATION OF MISSING REQUIREMENTS UNDER 35  
U.S.C. 371 (in duplicate); RETURN POSTCARD (two)

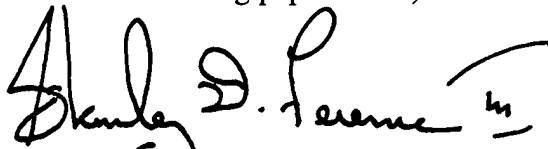
"Express Mail" Mailing Label Number

EL874960979US

Date of Deposit: August 9, 2001

I hereby certify that these papers and fees are being deposited with the United States Postal Service "Express Mail post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and are addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Stanley D. Ference III

(Typed or print name of person  
mailing paper or fee)

(Signature of person mailing paper or fee)



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/743844	BINDING	C CH919980027U
INTERNATIONAL APPLICATION NO.		
PCT/IB98/01854		
I.A. FILING DATE	PRIORITY DATE	
23 NOV 98	15 JUL 98	
DATE MAILED: 13 FEB 2001		

LOUIS P HERZBERG  
P O BOX 218  
YORKTOWN HEIGH, NY 10598

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):  
☒ U.S. Basic National Fee.  
☒ Copy of the international application in:  
☐ a non-English language.  
☒ English.  
☐ Translation of the international application into English.  
☐ Oath or Declaration of inventors(s) for DO/EO/US.  
☐ Copy of Article 19 amendments.  
☐ Translation of Article 19 amendments into English.  
☒ The International Preliminary Examination Report in English and its Annexes, if any.  
☒ Translation of Annexes to the International Preliminary Examination Report into English.  
☐ Preliminary amendment(s) filed \_\_\_\_\_ and \_\_\_\_\_.  
☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_.  
☐ Assignment document.  
☐ Power of Attorney and/or Change of Address.  
☐ Substitute specification filed \_\_\_\_\_.  
☐ Verified Statement Claiming Small Entity Status.  
☒ Priority Document.  
☒ Copy of the International Search Report ☒ and copies of the references cited therein.  
☒ Other: IB 306; IB 308

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.  
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.  
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).  
☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.  
☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.  
☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.  
5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed:

☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

COTTMAN, DARRELL C.

Telephone: 703-305-3693

Due 3/13/01

IBM  
YORKTOWN  
2001 FEB 20 AM 10:32  
INTELLECTUAL PROPERTY  
LAW DEPT.